



COMPLIANCE ISSUES **WORKING GROUP MEETING**

Group Members: Dr. Cathryn L. Addy, Dr. Anna M. Wasescha, Ernestine Y. Weaver, Robert E. Brown, Judy R. Wallace, Leah A. Glende*, Sean Bradbury, Gregory F. Daniels

October 7, 2016
10 a.m. – 12 p.m.
61 Woodland Street
3rd Floor, Conference Room 304
Hartford, CT 06105

Meeting Notes

1. Approved Minutes of August 24, 2016 Meeting

2. Discussion on system-wide compliance survey

- FOIA Request(s)
- Overall Survey Results:
 - Information Technology resources should be used to establish a centralized repository that will lead to:
 - Streamlined processes
 - Reduced Redundancy and duplication
 - Recommended policies and/or boilerplate governance language for campus reporting, e.g., boilerplate governance language (including BOR policy) for NEASC reporting.

3. Update on Legislative Reporting Matrix

- Sean Bradbury discussed progress on legislative reporting requirements matrix
 - Approximately 30 to 40 legislative ongoing annual reports
- Discussed:
 - Efficacy of consolidating legislative mandates of campuses
 - Campus challenges to compliance
 - Quantifying cost-time savings to campuses and system
 - Benefits to students

4. Recommendations to BOR

* *Not present at October 7, 2016 working group meeting*

** *Participated by phone*

- Considerations for presenting preliminary recommendations at BOR December 8, 2016 meeting:
 - Consolidate and present recommendations in short bullets containing basic facts, group by compliance areas:
 - Federal and State Statutory/Regulatory Compliance
 - Accreditation Compliance
 - ❖ External Organizations
 - ❖ Programmatic Compliance
 - BOR Policy Compliance
 - Request a review from Erika H. Steiner, Chief Financial Officer and William Gammell, Director, Research & System Effectiveness.

5. Next Meeting Date

- October 28, 2016, 10 a.m.- 12 p.m., CSCU, 61 Woodland Street Building, Conference Room 304
 - Guest: Joseph McAuliffe, Senior Director of Enterprise Applications