BOARD OF TRUSTEES
FOR THE
CONNECTICUT STATE UNIVERSITY SYSTEM
REGULAR MEETING
MINUTES
10:00 a.m., Friday, December 10, 2010
Boardroom, System Office
39 Woodland Street, Hartford, CT

TRUSTEES PRESENT
Richard J. Balducci, Vice Chairman
Andrew Chu
Mercedes DeMasi (via teleconference)
John A. Doyle (via teleconference)
Elizabeth S. Gagne
Angelo J. Messina
John H. Motley
Scott A. Nolan
Ronald J. Pugliese
Peter M. Rosa
John R. Sholtis, Jr.
John P. Sullivan

TRUSTEES ABSENT
Theresa Eberhard Asch, Secretary
Michael A. Caron
Karl J. Krapek, Chairman
Gail Hill Williams
L. David Panciera
Ryan C. Sheehan
Peter M. Rosa

OTHER OFFICIALS
David G. Carter, Chancellor, Connecticut State University System (CSUS)
Jack W. Miller, President, Central Connecticut State University (CCSU)
Elsa Nuñez, President, Eastern Connecticut State University (ECSU)
Stanley F. Battle, Interim President, Southern Connecticut State University (SCSU)
James W. Schmotter, President, Western Connecticut State University (WCSU)

STAFF TO THE BOARD
Erin A. Fitzgerald, Associate for Board Affairs

CALL TO ORDER
Vice Chairman Balducci called the meeting to order at 10:00 a.m. and, following roll call
and declared a quorum present.

ADOPTION OF AGENDA
Vice Chairman Balducci requested a motion to adopt the agenda as presented. Trustee
Gagne o moved; with a second by Trustee Sholtis; the agenda as presented was
unanimously adopted.
APPROVAL OF MINUTES

Vice Chairman Balducci requested a motion to approve the Minutes of the October 21, 2010 Regular Meeting. Trustee DeMasi requested a revision to page 21 to reflect that it was her stated preference at the October 21 meeting that the search for the CSUS Chancellor be initiated prior to the search for the SCSU President. Trustee Pugliese moved to accept the minutes as revised per Trustee DeMasi’s request; Messina seconded; motion unanimously passed.

AUDIT & RISK MANAGEMENT

Committee Chairman John Sholtis noted that the Audit and Risk Management Committee met on Friday, December 10th, and accepted the final report on the audit of CSUS 2020 Project Expenditures for FY2010 from Marcum LLP, CSUS 2020 independent auditors. Trustee Sholtis noted that Marcum has issued an unqualified opinion, or what is commonly referred to as a “clean opinion”, on the schedule of CSUS 2020 Construction Expenditures. They confirmed that they were given full and complete access to the books, records and management of the System Office and that of the four universities, and also met with the Department of Public Works. Marcum also issued their Report to Management indicating there were no material control weaknesses or significant deficiencies identified during their audit.

Trustee Sholtis reported that Mr. Mitch Knight, the director of Internal Audit, reported on the status of Internal Audit activities.

Additionally, Trustee Sholtis reported that the Audit and Risk Management Committee met with representatives of PricewaterhouseCoopers on the completion of their audit of the fiscal 2010 Combined Financial Statements for the Connecticut State University System.

- PwC confirmed their independence and that their audit was performed in accordance with auditing standards generally accepted in the U.S.
- PwC issued an unqualified opinion, what is commonly called a clean opinion, on the combined financial statements
- PwC confirmed that they were given full and complete access to the books, records and management of the System Office and that of the four universities
- PwC reviewed significant accounting estimates, including employee compensated absences, as well as allowances for past due student accounts receivable and student loans receivable
A high level review of information systems internal control matters was performed at all four universities, and at the System Office.

There were no audit adjustments identified during the audit, no unadjusted audit differences, and no disagreements or discussions of major issues with management.

As is their practice, PwC also issued their Report to Management with recommendations to improve internal controls in certain areas.

Importantly, there were no material control weaknesses nor significant deficiencies identified.

Trustee Sholtis noted that there were three new control comments that were identified as high priority, relating to segregation of duties of software developers and formalizing controls over change management, enhancement of audit logging of direct data changes to Banner, and timely revocation of user access.

PwC noted continued improvement in control environments at all locations and that 7 of 12 prior year comments were resolved in FY2010, along with reported progress reported on all remaining open comments.

Trustee Sholtis indicated that prior to introducing Lee Leahy, the lead PricewaterhouseCoopers on the CSU engagement, the Audit and Risk Management Committee wished to thank the Chancellor and each of the University Presidents for setting the right “tone at the top” over internal controls and for timely addressing the issues identified by PwC in their Report to Management.

Lee Leahy of PricewaterhouseCoopers addressed the Board and confirmed the remarks from the Audit & Risk Management Committee, reiterating that PwC’s opinion was clean with no material weaknesses or significant deficiencies.

Trustee Sholtis added that resources are tight and the likelihood is that they would continue to be so which resulted in a need to continue tightening of procedures along with continual standardization efforts.

**CHANCELLOR AND PRESIDENTS’ REPORT**

Chancellor Carter pointed out that in the Board binders were copies of the Design Review reports from each university submitted in compliance with the Board’s policy and presented at the last meeting of the Academic Affairs Committee. Dr. Carter added that, in the coming year, as the Board travels to the universities for full meetings, the university president hosting the meeting would include a section in their Board presentation highlighting a brief overview of the work of their Design Review Committees. It was thought that this approach would provide Board members with a better “picture” — literally—of the work of the university Design Review Committees.
President Miller, President Nuñez, Interim President Battle, President Schmotter and Senior Vice Chancellor Feroe each provided information and commentary on the university enrollment reports, copies of which were provided to each Trustee, as well as the status of progress concerning the workgroups they chaired in connection with the Board’s Strategic Focus initiative.

ACADEMIC AFFAIRS COMMITTEE

Trustee Sullivan, reporting for Committee Chair John Doyle, noted that the Academic Affairs committee met on Wednesday December 1, 2010 at Western Connecticut State University and recommended the following:

Nomination of Dr. Leszek Balcerowicz to receive an Honorary Doctor of Science degree from Central Connecticut State University. Dr. Balcerowicz is a former Minister of Finance, Deputy Prime Minister, and Governor of the National Bank of Poland. He was the first successful "architect" and executive officer of the unique transformation from a communist system to a free market economy. For his accomplishments, he has been widely honored and celebrated throughout Europe and the United States. He currently holds the position of Professor of Economics at the Warsaw School of Economics where since 1993 he has been a Director of Department of International Comparative Studies. Since 2006, he has been a Corresponding Member of the History and Philosophy Class of the Polish Academy of Arts and Sciences. He is the author of more than 100 publications on economic issues in Poland and abroad. In December 2009, Dr. Balcerowicz gave a lecture at CCSU at the invitation of Dr. Mieczyslaw B. Biskupski, the holder of the Stanislaus A. Bejwas Endowed Chair in Polish and Polish American Studies. President Miller has indicated that Dr. Balcerowicz has confirmed his attendance as Commencement Speaker at the Graduate Commencement Ceremony on May 26, 2011.

On a motion by Trustee Sullivan, seconded by Trustee Motley the recommendation to award an Honorary Doctor of Science degree from CCSU to Dr. Leszek Balcerowicz resolution was unanimously approved:

Trustee Sullivan shared that the Committee received the universities Design Review Committee Reports and heard presentations by representatives from each institution, noting that as the Chancellor indicated in his remarks, the university presidents will be providing more indepth reports on the work of their Design Review Committees when the Board is at each of the universities. Trustee Sullivan offered the following highlights of the Design Review reports, noting the reports are provided to ensure adherence to established Design Guidelines.
Review work at CCSU includes Founders Hall heating and air conditioning installation, completion of the construction of a Recreation Athletic Field, construction of new softball and baseball fields, Campus Wide Signage Program, repairs to two parking garages, decommissioning of satellite chillers, and demolition of boilers in the Powerhouse. Projects in progress are the Burritt Library Renovation and the reorganization and the completion of the heating and air conditioning conversion of Sam May Hall.

Review work at ECSU includes the renovation project of 333 Prospect St., to be used by the Office of Alumni Affairs. The Committee is beginning the review of the Support Building for Athletic events located at the Mansfield Sports Complex, the new Warehouse building and the Fine Arts Instructional Center.

Review work at SCSU includes the renovations to the Old Student Center for the Business School and the renovations to Farnham Hall. Five projects are beginning the review process this year including the new Academic Science Building, Buley Library Phase 2, and renovations to Residence Life Offices at Schwartz Hall and University Police Operations Center at Granoff Hall.

Review work at WCSU includes the Westside Fine Arts Instructional Center, the Midtown Perimeter Site Improvements, and renovations at the Old Main Stair Towers, the White Hall Stair Towers, and the MIS Classroom/Lab/Faculty Office Suite Renovation.

Trustee Sullivan noted that the Committee received an update of the report on enrollments in proficiency courses at the university and system levels which revealed that five years data on first-time full-time students enrolled in the fall semesters indicates levels of success reaching 90% in remedial (no college credit) and 92% in developmental (elective credit) English courses. For mathematics, success rates are as high as 81% in remedial and 80% in developmental mathematics. The number of sections offered in these courses has dropped from 327 in the Fall of 2008 to 288 in the Fall of 2010. This fact is attributed to the offering of new opportunities to complete proficiency requirements in anticipation to the beginning of the semester, and to the work with the high schools sponsored by the Bridges program.

In closing, Trustee Sullivan advised that the Committee formally thanked Dr. Linda Rinker, Provost at Western Connecticut State University, for her exemplary service during her time at the university and congratulated and wished her outstanding success on her new role as Executive Vice President for Academics and Provost at Davenport University in Grand Rapids Michigan.

DEVELOPMENT COMMITTEE

Trustee Pugliese reported that the Development Committee met on November 18th, and also held a special meeting prior to the December 10th meeting of the full Board. At the November 18th meeting, the Committee reviewed and approved the updated Development Plans for the four universities for the period of 2010-2014. An informational staff report with more detail on the targeted areas of focus for the next five years was provided to the Board.
The quarterly gift income reports from the universities were reviewed. It was noted that all four universities continued to realize success with the various fundraising events and annual campaigns.

Trustee Pugliese advised that at the December 10th special meeting, the Committee had the distinct pleasure and honor to recommend to the full Board approval of the naming of the School of Arts and Sciences at Central Connecticut State University in honor of Ms. Carol Ammon, a distinguished 1973 graduate of Central and longtime supporter of the university. Ms. Ammon previously established a scholarship at Central, which benefitted students for a number of years. Trustee Pugliese stated that he was pleased to share with the Board that Ms. Ammon recently agreed to make a donation, through the Carol A. Ammon Fund, in the amount of $8,000,000 to the CCSU Foundation to be used for scholarship support and academic program support. This is the largest cash gift the university has ever received. Trustee Pugliese noted that Ms. Ammon was in attendance at the meeting and he wished to express the Board’s appreciation for her tremendous generosity. Trustee Pugliese noted the generosity of Ms. Ammon would have an impact on the current and future students of our System and the State of Connecticut. Ms. Ammon thanked members of the Board for the opportunity to donate the funds to CCSU from her Fund, adding that a third of her Foundation was for educational support. Vice Chairman Balducci congratulated President Miller and the Central community for acquiring this very significant gift, adding that, as a fellow Central graduate, he wished to extend his personal thanks to Carol Ammon for her generosity. **On a motion by Trustee Pugliese, seconded by Trustee Gagne, the following resolution, designating the School of Arts & Sciences at Central Connecticut State University, to be known now and hereafter as the Carol A. Ammon School of Arts & Sciences, was unanimously approved:**

WHEREAS, Carol A. Ammon earned a Bachelor of Science degree in biology from Central Connecticut State College in 1973, and

WHEREAS, Since that time, Ms. Ammon has remained a strong supporter of Central, having established a scholarship that provides a student each year with full tuition, and has recently agreed to make a donation of $8,000,000 through the Carol A. Ammon Fund to the CCSU Foundation for scholarship support and academic program support, and

WHEREAS, Ms. Ammon’s distinguished professional career includes founding Endo Pharmaceuticals Inc., a specialty pharmaceutical company with market leadership in pain management, as well as serving on several boards, and

WHEREAS, Ms. Ammon remains committed to education, currently serving as an adjunct professor in the Executive MBA program at the University of Delaware and as a member of Harvard University’s School of Business Healthcare Advisory Committee, therefore be it
RESOLVED, That the Board of Trustees for the Connecticut State University System hereby designates the School of Arts and Sciences at Central Connecticut State University to be known now and hereafter as the Carol A. Ammon School of Arts and Sciences.

STUDENT LIFE COMMITTEE

Trustee Gagne, reporting for Committee Chairman Panciera, noted that the Student Life Committee met on Monday November 29, 2010 at the System Office and discussed the following items at that meeting.

Reports on the status of interactions between the universities and their corresponding town municipalities regarding off-campus student behavior. The Committee was made aware of ongoing efforts by administrators and police departments at each university to reach out to their municipal counterparts in order to strengthen communication, to fully clarify the delineation of authority, and to create a full understanding of procedures. The CSUS Student Code of Conduct specifically states that "Off-campus misconduct may be subject to the jurisdiction of the University and addressed through its disciplinary procedures if... a Student engages in prohibited conduct under such circumstances that reasonable grounds exist for believing that the Accused Student poses a threat to the life, health or safety of any member of the University Community or to the property of the University". This language is in alignment with definitions of jurisdiction at other universities in Connecticut as well as other states around the country. This language in the Student Code of Conduct prompts the universities to follow up on incidents, and provides them with the guidance to make sound judgments in addressing the wide range of violations that are possible. All CSUS Universities are encouraging town municipalities to properly apply their laws and exercise their rightful authority so that student misconduct is addressed appropriately, and the universities can undertake disciplinary procedures through the application of the Student Code of Conduct, as needed.

The Committee also received update reports on Title IX compliance. Using the specific gender distribution of students, the range of athletic opportunities under each NCAA division classification, the demand from students for collegiate and club sports, and the available resources, all CSUS universities are considered to be in compliance with the law.
Trustee Messina reported that the Finance and Administration Committee met on Thursday, December 2nd, and had one action item to bring before the Board:

**Tenure Recommendations**

Trustee Messina stated that Committee members reviewed and approved several off-cycle tenure recommendations for faculty at Eastern, Central and Western Connecticut State Universities effective for the spring semester 2011. **Trustee Messina moved to accept the recommendations; Trustee Sullivan seconded and the tenure recommendations as submitted to the Finance & Administration Committee on December 2, 2010, were unanimously approved.**

Trustee Messina noted that the Finance and Administration Committee reviewed three information items, including the current status of CSUS 2020 Projects, adding that a copy of the report had been provided to each Board member in their Board binder. All but two of the CSUS 2020 projects are on or close to schedule. One project, a new classroom building at Central, was delayed because of performance issues with the design consultant which have now been corrected; and the project is now slated for completion in late spring of 2013. The other project which has been delayed is the old Student Center at Southern, which was slated for renovation to accommodate the new School of Business. Trustee Messina noted that one half of the $6.5 million project costs are planned to come from CSUS 2020, but the remaining funds ($3.3 million) must come from pre-2020 funds that requires Bond Commission approval, adding that the item was not placed on the December 10, 2010 Although the project is currently being bid for construction, Southern cannot move forward without Bond Commission approval for the remaining funds. Unfortunately, the project did not make today’s Bond Commission meeting agenda. Trustee Messina stated the System and Universities have implemented cost reductions and cost avoidance of approximately $50 million since 2008.

The Committee also reviewed the Quarterly Operating Fund Report for the First Quarter of Fiscal Year 2011, provided to the Department of Higher Education. All revenues and expenditures are on schedule, compared to the same quarter last year. The System and Universities continue to take fiscally prudent actions to deal with the current fiscal crisis facing the State without compromising the quality of education the CSUS System provides its students.

Trustee Messina also advised that the Committee reviewed Sabbatic Leave Recommendations for academic year 2012 submitted by the Presidents of Eastern and Western.
EXECUTIVE COMMITTEE/CHAIRMAN’S REPORT

Vice Chairman Balducci noted that the Executive Committee met Friday, December 3rd and while there were no action items to bring before the full Board, he wanted to share that the Committee received the Chancellor’s evaluations of the university presidents for 09-10 and that a copy of the Letters of Priority for the university presidents were provided in the Board binders.

Vice Chairman Balducci referenced Chairman Krapek’s announcement to the Board and the CSUS community of the decision to commence the search for a new CSUS Chancellor position with the hope that the Board appoints an outstanding candidate by the May 2011 Board meeting. He noted that Board search procedures would be followed stringently ensuring that there would be meaningful opportunities for the entire System Community to provide input into the search through the Systemwide Advisory Committee. It is the expectation that as the Chancellor search concludes, even if before May 2011, the Board will immediately establish the University Advisory Committee in order to commence the search for Southern’s president. The presidential search will also provide opportunities for meaningful input from the university community to inform and advise the Board’s deliberations. Vice Chairman Balducci noted it was the Board’s expectation that Southern’s new president would be selected prior to the end of the 2011-12 academic year.

On behalf of the Chairman, Vice Chairman Balducci thanked the members of the Board who accepted the appointment to join them on the Trustees Search Committee for the CSUS Chancellor (Terry Eberhard Asch, Andrew Chu, Liz Gagne, Angelo Messina, John Motley, and John Sholtis).

Vice Chairman Balducci reminded Trustees of the information contained within the university media reports, referencing the decision to provide the information in an electronic form in a cost-saving measure. Referencing the November/December media reports posted, he noted the following articles: coverage from Central on their partnership with the Travelers assisting economically disadvantaged students; from Eastern regarding their annual Day of Caring; Southern had extensive coverage regarding Dr. Battle’s hosting a visit by Bill Cosby to the university and New Haven community; and Western’s hosting author Tim O’Brien at the conclusion of the third One Book/One Community initiative of Western, Danbury Public Schools and the Danbury Public Library. Vice Chairman Balducci that while he highlighted just one article from each university, there was a wealth of information provided that tells the story of the universities that comprise the Connecticut State University System.
EXECUTIVE SESSION

Vice Chairman Balducci requested a motion to go into Executive Session for the purpose of hearing the leadership assessment report of President Nuñez. He requested that Chancellor Carter, President Nuñez, Associate Vice Chancellor for Human Resources & Labor Relations David Trainor and Associate for Board Affairs Erin Fitzgerald remain. Trustee Sholtis so moved; Trustee Pugliese seconded the motion and it was approved unanimously.

RETURN TO OPEN SESSION

Vice Chairman Balducci declared the meeting to be in open session at 11:23 a.m. and indicated no vote was taken during Executive Session.

ADJOURNMENT

Trustee Sullivan moved to adjourn; Trustee Messina seconded the motion and the meeting was adjourned at 11:25 a.m.

Respectfully submitted,

Theresa Eberhard Asch, Secretary